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# Power Control of a Non-Pitchable PMSG-Based Marine Current Turbine at Over-rated Current Speed with Flux-Weakening Strategy

Zhibin Zhou, *Student Member, IEEE*, Franck Scuiller, *Member, IEEE*, Jean Frédéric Charpentier, *Member, IEEE*, Mohamed Benbouzid, *Senior Member, IEEE*, and Tianhao Tang, *Senior Member, IEEE*

**Abstract**—This paper deals with power control strategies for a fixed-pitch direct drive marine current turbine (MCT) when the marine current velocity exceeds the rated value corresponding to the MCT nominal power. At over-rated marine current speed, the MCT control strategy is supposed to be changed from maximum power point tracking (MPPT) stage to constant power stage. In this paper, flux-weakening strategy is investigated to realize appropriate power control strategies at high marine current speeds. During flux-weakening operations, the generator can be controlled to produce nominal or over-nominal power for a specific speed range (constant power range). These two power control modes are compared and the constant power range is calculated in this paper. The relationship between the expected constant power range and generator parameters requirement (stator inductance, permanent magnet flux, nominal power coefficient) is analyzed in this paper. A Torque-based control with a robust feedback flux-weakening strategy is then carried out in the simulation. The proposed control strategies are tested in both high tidal speed and swell wave cases; the results validate the analysis and show the feasibility of the proposed control method.

**Index Terms**—Marine current turbine, fixed-pitch, PMSG, flux-weakening, high marine current speed.

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## NOMENCLATURE

CAP	=	Constant active power;
CPSR	=	Constant power speed ratio;
MAP	=	Maximum active power;
MCT	=	Marine current turbine;
MPPT	=	Maximum power point tracking;
MTPA	=	Maximum torque per ampere;
PMSG	=	Permanent-magnet synchronous generator;
TSR	=	Tip speed ratio;
$H_s, T_p$	=	Swell significant height and peak period;
$C_p$	=	Power coefficient;
$f_B$	=	Friction coefficient;
$i_d, i_q$	=	Generator d- and q-axis currents;
$I_{max}$	=	Maximum phase current magnitude;
$J$	=	Total system inertia;
$K_T$	=	Generator torque constant;
$L_d, L_q$	=	Generator d- and q-axis inductances;
$n_p$	=	Pole pair number;
$P_N$	=	Turbine nominal power;
$R$	=	Turbine blade radius;
$R_s$	=	Generator stator resistance;
$T_e, T_m$	=	Generator and turbine torque;
$V$	=	Marine current speed;
$V_s$	=	Generator phase voltage magnitude;
$V_{dc}$	=	DC-bus voltage;
$V_{max}$	=	Maximum phase voltage magnitude;
$v_d, v_q$	=	Generator d- and q-axis voltages;
$\lambda$	=	Turbine tip speed ratio;
$\rho$	=	Sea water density;
$\phi$	=	Generator power factor angle;
$\psi_m$	=	Permanent magnet flux;
$\omega_e, \omega_{eb}$	=	Electrical angle and base speeds;
$\omega_m$	=	Mechanical angle speed;
$\omega_{mN}$	=	Rotor nominal angle speed.

## I. INTRODUCTION

Due to high predictability of the marine tides and high power potential of marine tidal currents, various marine current turbine (MCT) technologies have been developed to capture tidal current energy in recent years [1-2]. However, difficulties of underwater accessibility make compact structure and low maintenance highly expected for MCTs. Several industrial MCT projects such as the OpenHydro (tested by French utility company EDF), Hydro Beluga 9 (Alstom) and Voith Hydro turbine system adopt non-pitchable turbine blades and use permanent magnet synchronous generators (PMSG). Two advantages of these projects can be noticed: fixed blades enable simplifying the turbine system (avoiding pitch-variable mechanism); and PMSGs enable realizing direct-drive systems (eliminating gearbox).

However, the MCT rated power would not be designed for the peak marine current speed due to the fact that the peak current speed may appear only at large spring tides and corresponds only to a small part of the statistical resource [3]. When the marine current speed is higher than the rated value, a fixed-pitch MCT is unable to limit the extracted power via pitch control as in large wind turbines [4] and some pitchable MCT turbines [5]. Therefore, an appropriate generator-side control strategy should be applied to control and limit the MCT output power.

Based on the turbine power characteristic, one possible solution is to operate the turbine at over-nominal speed during high marine current speed periods for reducing turbine power coefficient and the harnessed power. However, over-nominal speed operation leads to high electromotive force (EMF) in PM machines and may cause saturations in the generator regulators. Although various flux-weakening control strategies have been studied to realize over-base speed operations for PM machines [6-15], the flux-weakening operation mode for PMSG in renewable energy systems is still a new topic. The joint operating characteristics of the MCT and the PMSG are focused in this paper. In a previous work [16], the authors proposed to apply flux-weakening strategy for limiting the MCT power to its nominal power at high current speeds. However, the maximal generator output power during the flux-weakening operation and the impacts of generator parameters on the MCT operation range are not discussed. In this paper, these issues will be focused. Figure 1 shows the general system scheme, and the generator-side control will be focused in this paper.

In Section II, the turbine power characteristic and the generator model are presented. In Section III, the flux-weakening operation and the generator parameters impacts on the MCT operational zone are discussed. In Section IV, the proposed robust power control scheme is presented and the simulation results of the two power control modes at high marine current speed are compared. And the conclusion is then given in Section V.

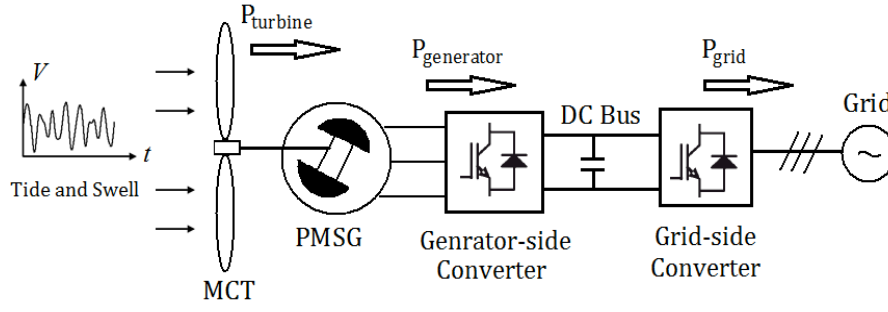


Fig. 1. General scheme of a PMSG-based direct drive MCT system.

## II. MARINE CURRENT TURBINE AND GENERATOR MODELS

### A. Marine Current Turbine Model

The power harnessed by a horizontal-axis MCT can be calculated as

$$P = \frac{1}{2} \rho C_p \pi R^2 V^3 \quad (1)$$

The turbine power coefficient  $C_p$  depends on the turbine blade structure and hydrodynamics. For typical MCTs, the optimal  $C_p$  value for normal operation is estimated to be in the range of 0.35-0.5 [3]. For a given turbine and based on the experimental results, the  $C_p$  curve can be approximated as a function of the tip speed ratio ( $\lambda = \omega_m R / V$ ) and the pitch angle [17]. In this paper the considered system is a non-pitchable MCT, therefore the  $C_p$  is supposed to depend only on  $\lambda$ .

Figure 2 shows the  $C_p$  curve used in this paper, which is referenced from [18-19]. The maximum  $C_p$  value is 0.45 which corresponds to a tip speed ratio of 6.3. This value is considered as the optimal tip speed ratio for realizing maximum power point tracking (MPPT) under the rated marine current speed. One 1.52 MW turbine is studied in this paper. The turbine maximum speed to follow MPPT is 24 rpm (2.52 rad/s) corresponding to a marine current of 3.2 m/s. When the marine current exceeds 3.2 m/s, the extracted power will be limited by operating the rotor speed over 2.52 rad/s as illustrated in Fig. 3.

### B. Marine Current Generator Model

Surface-mounted PMSG (non-salient machine) is considered in this paper. Although salient PM machines can have wider flux-weakening range, non-salient PM machines are usually easier to manufacture and are capable of achieve a smoother electromagnetic torque [20]. The PMSG model in the  $d$ - $q$  frame is described by the equations (2).

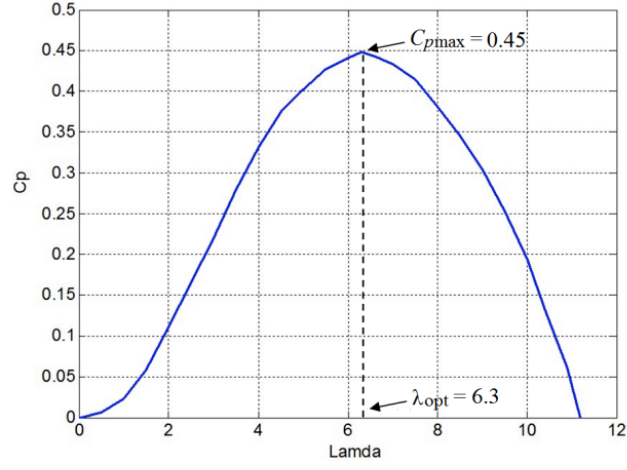


Fig. 2. MCT  $C_p$  curve.

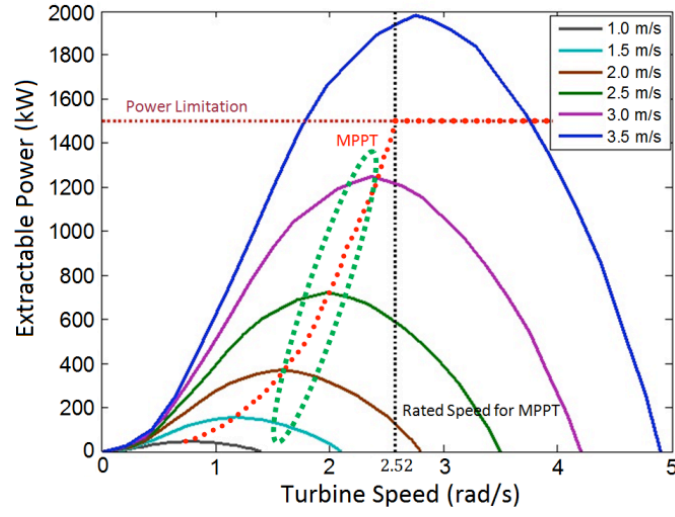


Fig. 3. The MCT power characteristic under different current speeds.

$$\begin{cases} v_d = R_s i_d + L_d \frac{di_d}{dt} - \omega_e L_q i_q \\ v_q = R_s i_q + L_q \frac{di_q}{dt} + \omega_e L_d i_d + \omega_e \Psi_m \\ T_e = \frac{3}{2} n_p \Psi_m i_q \\ J \frac{d\omega_m}{dt} = T_m - T_e - f_B \omega_m \end{cases} \quad (2)$$

### III. FLUX-WEAKENING FOR THE PMSG IN A MCT SYSTEM

#### A. Generator Operating Characteristics

In the synchronous rotation frame, the stator current is decomposed into a flux component  $i_d$  and a torque component  $i_q$ ; and the following voltage and current limits should be guaranteed at any time.

$$v_d^2 + v_q^2 \leq V_{max}^2 \quad (3)$$

$$i_d^2 + i_q^2 \leq I_{max}^2 \quad (4)$$

$V_{max}$  is limited by the available DC-bus voltage  $V_{dc}$  and the converter modulation method.  $V_{max} = 1/\sqrt{3} V_{dc}$  for the sinusoidal wave model (linear space vector modulation) and  $V_{max} = 2/\pi V_{dc}$  for the full six-step operation [10].  $I_{max}$  is determined by machine torque and converter power ratings. For a surface-mounted PM machine, the inductances in  $d$ - $q$  axes are almost equal ( $L_d = L_q = L_s$ ). By neglecting stator resistance, the voltage limitation (3) in steady-state can then be expressed by

$$i_q^2 + \left( i_d + \frac{\Psi_m}{L_s} \right)^2 \leq \left( \frac{V_{max}}{\omega_e L_s} \right)^2 \quad (5)$$

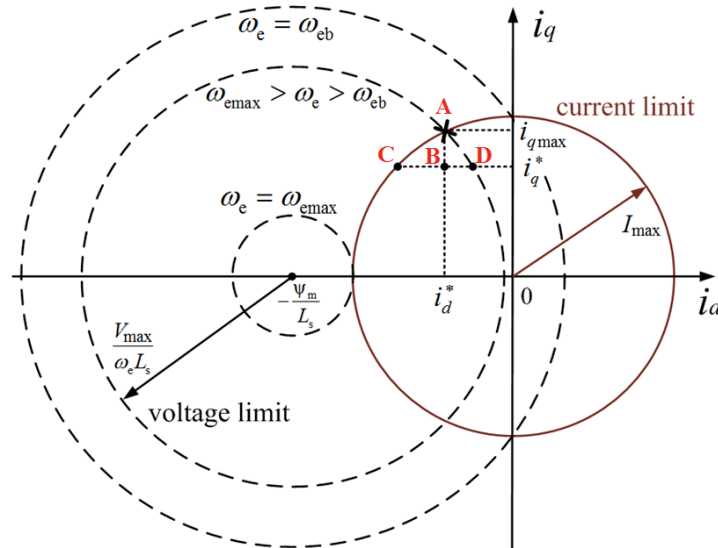


Fig. 4. Voltage and current limitation circles.

From (5), the voltage and current constraints can be drawn as circles shown in Fig. 4. The solid-line circle indicates the current limitation and the dotted-lines circles indicate voltage constraints which have a centre of  $(0, -\psi_m/L_s)$  and shrink with increased operating speeds.

The generator parameters are set to match the turbine characteristics: the generator nominal speed is set at the maximum turbine speed to realize the MPPT stage (24 rpm, 2.52 rad/s); the generator nominal active power is designed as the same value of the turbine nominal one (1.52 MW); the generator maximum torque is required at the base speed to obtain the nominal power as given by

$$P_N = T_{eN} \omega_{mN} = K_T I_{max} \frac{\omega_{eb}}{n_p} \quad (6)$$

where  $K_T = (3/2)n_p\psi_m$

It should be noted that if the generator maximum torque is set to a smaller value that will be required by the MPPT strategy below the nominal speed (this also means a smaller current rating of  $I_{max}$ ), the flux-weakening operation range will be reduced; while a larger torque rating can result in a wider flux-weakening operation range but the generator weight and cost will be increased [29-30]. The typical control strategy for a non-salient PM machine under the base speed is to set  $i_d = 0$  for maximizing the torque per ampere ratio. When rotor speed is over the machine base speed, the voltage limitation circle will then shrink to the left side of the  $q$ -axis (as shown in Fig. 4). It indicates that negative  $d$ -axis current should be injected to decrease the total flux in the machine windings and to keep the operating points within the voltage and current limitation circles at flux-weakening operations.

Finite constant power speed ratio machine ( $\psi_m > L_s I_{max}$ ) is considered in this paper. The maximum torque in the flux-weakening operation is obtained when the machine operates at the intersection points of the voltage and current limitation circles. The intersection point (point  $A$  in Fig. 4) can be calculated when the equality sign is used in (4) and (5); and the corresponding  $i_d$  and the  $i_q$  can be obtained as follows.

$$i_d^* = \frac{L_s}{2\psi_m} \left[ \left( \frac{V_{max}}{\omega_e L_s} \right)^2 - \left( \frac{\psi_m}{L_s} \right)^2 - I_{max}^2 \right] \quad (7)$$



$$i_{qmax} = \pm \sqrt{I_{max}^2 - i_d^{*2}} \quad (8)$$

If the generator output power is supposed to be limited to the nominal power at over-base speed operation ( $\omega_e > \omega_{eb}$ ), the  $q$ -axis current reference should be calculated by

$$i_q^* = \frac{T^*}{K_T} = \frac{P_N}{K_T(\omega_e / n_p)} = \left( \frac{\omega_{eb}}{\omega_e} \right) I_{max} \leq |i_{qmax}| \quad (9)$$

Figure 4 shows that for a given  $i_q$  reference under  $i_{qmax}$ , there exists a range for setting  $i_d$  reference values (shown as the  $C$ - $D$  line segment). It is not obvious to determine the optimal  $i_d$  reference due to various optimization criteria. The copper loss is proportional to the square value of stator current, while the iron loss is approximately proportional to the square value of local flux density for a given speed [21-22]. So the point  $D$  corresponds to the lowest copper losses while the point  $C$  corresponds to the lowest iron losses. If the maximum torque per ampere (MTPA) strategy is applied, then the point  $D$  will be chosen as the operating point for the given  $i_q$  reference.

Figure 5 illustrates the generator power characteristics in this paper. Under the base speed, the generator is supposed to operate in MPPT mode enabling the turbine to capture maximum energy from marine currents. Over the base speed, a demagnetizing current (negative  $i_d$  current) is injected and the generator will operate in the flux weakening range. At the flux weakening stage, there exists a constant power range during which the generator power can be either over the nominal power with  $i_{qmax}$  or limited to the nominal power with  $i_q$  given by (9). The  $i_d$  current curve in Fig. 5 is calculated by (7); the two different  $i_q$  current trajectories correspond to the coordinates described by points  $A$  and  $B$  in Fig. 4 when the rotor speed increases. However, when the rotor speed exceeds the constant power range,  $i_q$  will be limited to  $i_{qmax}$  and the operating points of the two power control modes are the same. Over the constant power range, the generator power will decrease rapidly below the nominal value with the increase of the rotor speed.

The flux-weakening range and constant power range depend on machine parameters. The maximum speed for the flux-weakening range can be obtained from Fig. 4 as

$$\omega_{emax} = \frac{V_{max}}{\Psi_m - L_s I_{max}} \quad (10)$$

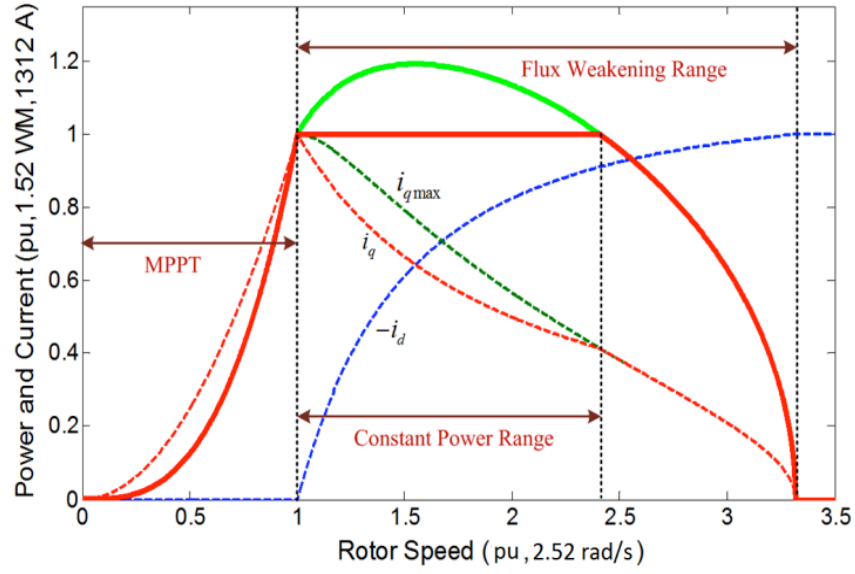


Fig. 5. Generator operating characteristics (corresponding to the Appendix data).

The flux-weakening range and constant power range depend on machine parameters. The maximum speed for the flux-weakening range can be obtained from Fig. 4 as

$$\omega_{emax} = \frac{V_{max}}{\Psi_m - L_s I_{max}} \quad (10)$$

The maximum speed for the constant power range can be calculated based on (7)~(9) with the equality sign in (9),

$$\omega_{econ} = \frac{\omega_{eb}}{1 - 2(x_{pu})^2} \quad (11)$$

where  $x_{pu} = \omega_{eb} L_s I_{max} / V_{max}$ , and the parameter relationship at the base speed conforms to

$$V_{max} = \sqrt{(\omega_{eb} \Psi_m)^2 + (\omega_{eb} L_s I_{max})^2} \quad (12)$$

Then, the flux-weakening range and the constant power range can be described by the ratio of their maximum operational speeds with the base speed as follows

$$Ratio\_fx = \frac{\omega_{emax}}{\omega_{eb}} = \frac{V_{max}}{\omega_{eb}(\psi_m - L_s I_{max})} \quad (13)$$

$$Ratio\_con = \frac{\omega_{econ}}{\omega_{eb}} = \frac{1}{1 - 2(x_{pu})^2} \quad (14)$$

In this paper, the generator (parameters illustrated in the Appendix) is able to have a flux-weakening range of  $Ratio\_fx = 3.3$  and constant power range of  $Ratio\_con = 2.4$  as shown in Fig. 5. This constant power range is sufficient for the generator to cope with the MCT and achieve both over-nominal power and nominal power modes during high marine current speeds. In the following, we will use the maximum active power (MAP) mode and the constant active power (CAP) mode to distinguish the two generator power control modes at the flux-weakening stage.

### B. Impacts of Generator Parameters on the MCT Operation

It can be seen from Fig. 5 that both MAP and CAP modes are effective in the constant power range, while for a rotor speed over this range the generator output power will decrease rapidly below the nominal value. Therefore, it is important to study the requirements of the generator parameters for an expected constant power range. In this section, generator base speed  $\omega_{eb}$ , nominal torque  $T_{eN}$  and the maximum stator voltage  $V_{max}$  are fixed and we therefore focus on the parameter requirements of stator inductance and rotor permanent magnet flux. Figure 6 shows that the generator nominal power angle  $\varphi$ , defined at the base speed, is decided by the ratio between  $L_s I_{max}$  and  $\psi_m$  with the MTPA strategy.

From (13) and (14), it can be seen that the flux-weakening and constant power ranges strongly depend on  $L_s I_{max}$  and  $\psi_m$ . For an expected constant power speed ratio (CPSR), the required minimum values for  $L_s I_{max}$  and  $L_s$  can be calculated as follows.

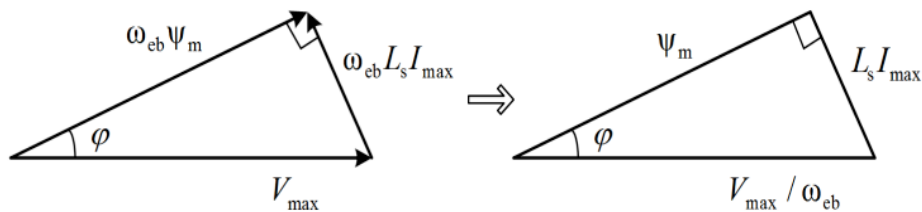


Fig. 6. Generator parameters relationship at base speed.

$$(L_s I_{max})^* = \frac{V_{max}}{\omega_{eb}} \sqrt{\frac{Ratio\_con - 1}{2Ratio\_con}} \quad (15)$$

$$L_s^* = (L_s I_{max})^* \frac{3n_p \psi_m^*}{2T_{eN}} = \frac{3n_p (L_s I_{max})^* \sqrt{\left(\frac{V_{max}}{\omega_{eb}}\right)^2 - (L_s I_{max})^{*2}}}{2T_{eN}} \quad (16)$$

Figure 7 shows the calculation results; the  $(L_s I_{max})^*$  and  $L_s^*$  values in this figure are normalized by  $L_s I_{max}$  and  $L_s$  (in the Appendix) respectively to illustrate the parameter change requirements according to

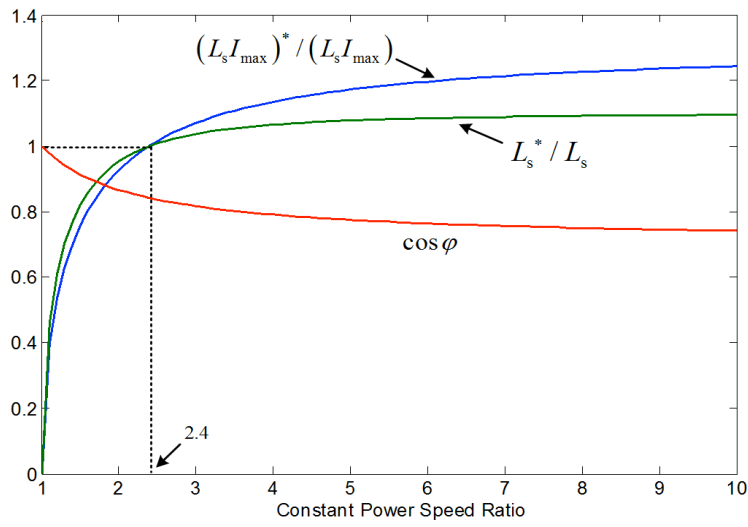


Fig. 7. Parameters corresponding to required CPSR.

different CPSRs. From (16) and Fig. 7, it can be seen that a wider CPSR requires smaller  $\psi_m$  and higher  $L_s$  and  $I_{max}$ ; these results are consistent with the studies in [31]. Although interior PM machines are easier to realize wider flux-weakening range with their saliency, surface-mounted PM machines can also have wider flux-weakening range via proper machine design. For example, additional leakage inductance can be obtained by introducing additional cores enclosing end-windings, thus realizing a wider CPSR [32]. The generator parameters used in this paper enable the machine to have a CPSR of 2.4 with a nominal power factor of 0.84. A higher CPSR requires large stator inductance and  $L_s I_{max}$  values thus reducing the nominal power factor. For example, stator inductance value should increase by 8.3% to obtain a CPSR of 5 but the resulted nominal power factor will be reduced to 0.77. Smaller stator inductance can improve the generator nominal power factor and then reduce the  $V$ - $I$  rating of the

generator-side converter, however the CPSR will also be decreased and the system operable area will be limited.

For example if the inductance is designed with 1.0 mH (16.7 % smaller than  $L_s$  used in this paper), the PMSG will have a nominal power factor of 0.9, but with a small CPSR of 1.6. Figure 8 shows the generator operating points with CPSR=1.6. The dotted-lines illustrate the turbine powers characteristics. In this case, both MAP and CAP modes can be applied when the marine current speed is between 3.2 m/s and 3.6 m/s. However, the MCT system can not produce power at a current speed of 4.0 m/s due to small CPSR and low flux-weakening capability of the generator.

Figure 9 shows the case with generator parameters chosen in this paper. It can be seen that with CPSR = 2.4, the generator enables the MCT system to produce power for a wide range of over-rated marine current speeds. At a high current speed of 4.0 m/s, the MCT system is still able to produce 1.77 MW under the MAP mode or 1.52 MW under the CAP mode.

It should be noticed that the flux-weakening operation is on the decreasing slope of the  $C_p$  ( $\lambda > \lambda_{opt}$ ) curve to reduce the turbine power coefficient during over-rated current speeds. Therefore, the turbine  $C_p$  characteristic can also influence the expected generator CPSR for non-pitchable MCTs. The  $C_p$  curve used in this paper has a common shape for three-blade turbines [18-19], [26]. Increasing turbine blade number could have sharper  $C_p$  and better stall performance at high current speeds. However, flatter  $C_p$  can be found in two-blade turbines or when the blade chord and twist distribution is designed to keep high  $C_p$  values for a wide TSR range [27-28]. In this case, it would require large CPSR for the generator to cope with the turbine at over-rated marine current speed.

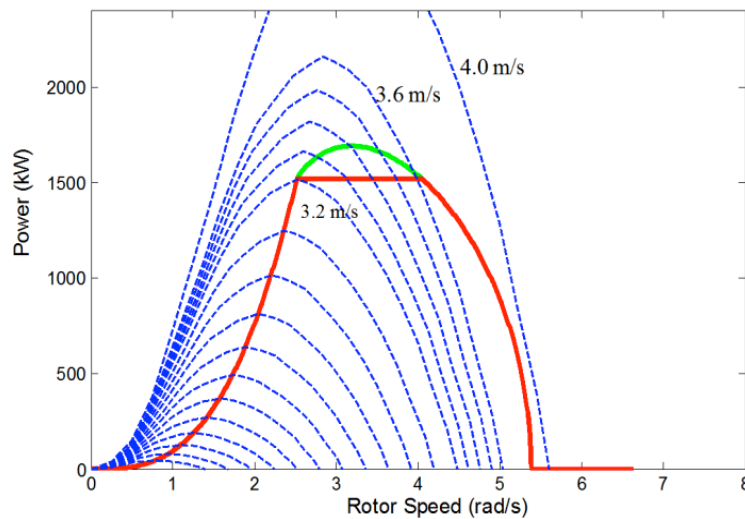


Fig. 8. Generator power characteristics with CPSR = 1.6.

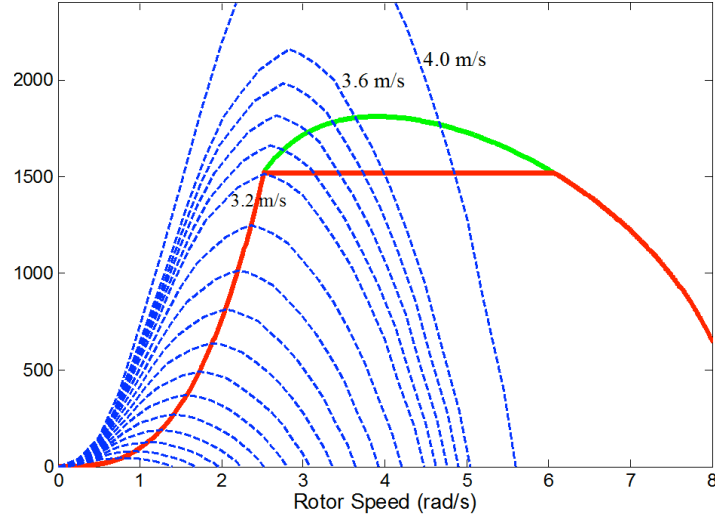


Fig. 9. Generator power characteristics with CPSR = 2.4.

#### IV. SYSTEM SIMULATION AT HIGH MARINE CURRENT SPEED

##### A. Robust MCT Power Control Strategy

From (7) it can be seen that the model-based  $d$ -axis current reference requires accurate information about machine parameters and operating speed. Actually, robust flux-weakening methods are more favorable in real applications to overcome the parameter indeterminacy and variations. The flux-weakening method proposed in [13-14] is chosen in this paper for its robustness and high utilization of the DC-bus voltage. Figure 10 illustrates the generator-side control scheme with the flux-weakening algorithm for the MCT. The difference between the current controller output (voltage reference) and the converter output voltage is used to generate the  $i_d$  reference. The low-pass filter (LPF) is used to reject voltage signals high-frequency components.

The torque-based MPPT strategy is applied when the generator speed is under the nominal value. This torque-based MPPT calculates the reference generator torque as a function of  $C_{pmax}$ ,  $\lambda_{opt}$  and  $\omega_m$ . When the rotor speed is gradually driven by the high marine current speed to be over the nominal speed, the generator will be accelerated to over-base speed operation points with the flux-weakening algorithm. The reference torque can be calculated by the constant power requirement. Equation (17) illustrates the torque control reference used in this paper.

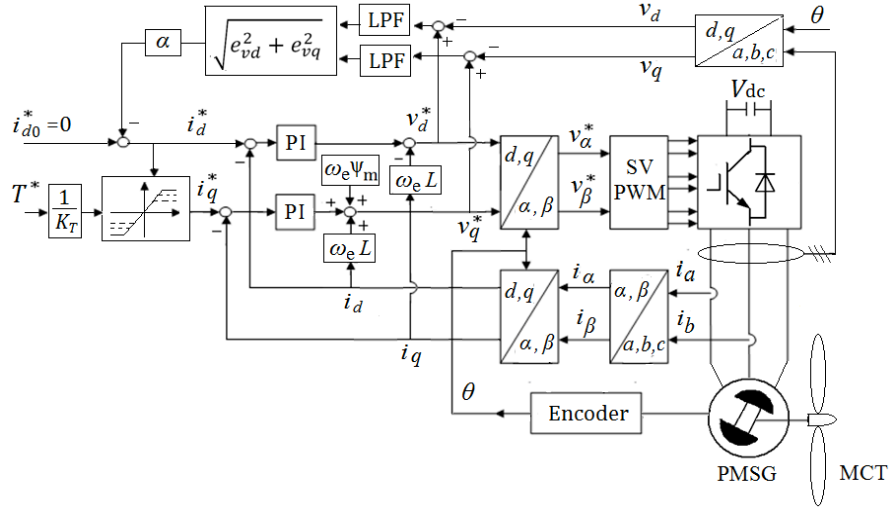


Fig. 10. Generator-side control scheme with flux-weakening strategy.

$$T^* = \begin{cases} \frac{1}{2} \frac{C_{pmax}}{\lambda_{opt}^3} \rho \pi R^5 \omega_m^2 & \text{if } \omega_m \leq \omega_{mN} \\ \frac{P_N}{\omega_m} & \text{if } \omega_m > \omega_{mN} \end{cases} \quad (17)$$

The MTPA strategy is embodied in this control scheme. Indeed,  $i_d^*$  is generated to maintain the operating points on the voltage constraints. Therefore, this control scheme is able to achieve the maximum active power (MAP) mode as at point *A* (in Fig. 4) and the constant active power (CAP) mode with minimum copper losses at point *D* (in Fig. 4). For the CAP mode,  $i_q^*$  is calculated from the torque reference (second equation in (17)) to keep the generator output power at its nominal value; and for the MAP mode,  $i_q^*$  is set to  $\sqrt{I_{max}^2 - i_d^{*2}}$  for maximizing the generator torque and output power.

### B. Under High Tidal Speed

The MCT is supposed to be installed at a proper depth under the sea-surface so that normal sea waves generated by local winds will have negligible influence on the MCT system. High speed marine currents would occur at large spring tides or under strong sea states (caused by swell waves or storms). In this section, the sea is supposed to be calm (without significant swell waves) and the high marine current speed driven by spring tides are considered.

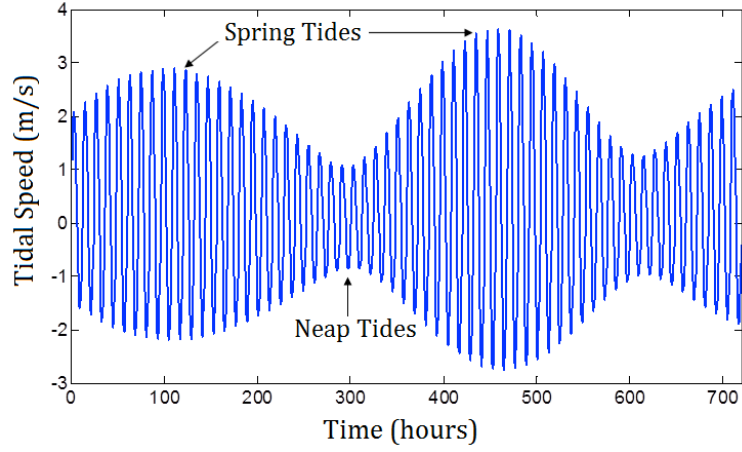


Fig. 11. Tidal speed in the Raz de Sein for one month [3].

Figure 11 gives an example of tidal current speed in the Raz de Sein (potential site for MCT project off the coast of Brittany, France) during one month based on tidal current data from SHOM (French Navy Hydrographic and Oceanographic Service). It can be seen that the peak tidal speed can reach 3.6 m/s for this site at spring tides. Without power limitation control, the maximum extractable power at the peak tidal speed would be over 2 MW for the MCT.

In the simulation of this section, the marine current speed is set initially at 2.8 m/s and then rises to 3.6 m/s during 20 s to 70 s as shown in Fig. 12. After 47 s, the current speed rises over the rated value (3.2 m/s) and the power control mode with flux-weakening strategy will be applied. Although this current speed rising process is not very realistic (the tidal speed changes very slowly in reality because a tidal cycle may correspond to approximately half a day), it enables to test and compare the proposed control strategies at high spring tides. The turbine speed response, generator torque, generator output power, and generator losses under the MAP (green line) and CAP (red line) modes are illustrated from Figs. 13 to 17. The steady-state results at 3.6 m/s are then summarized in Table 1.

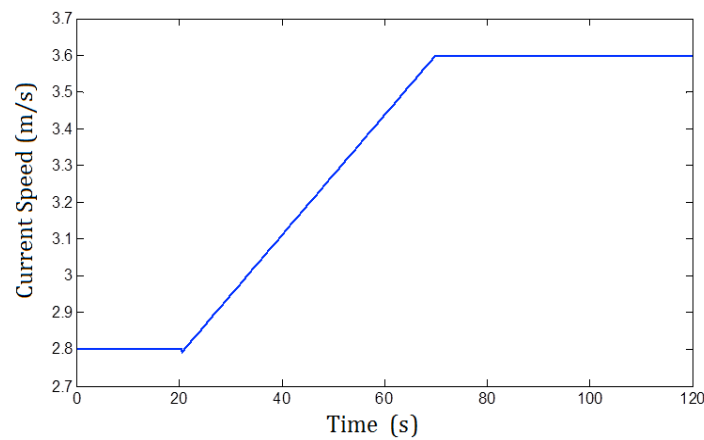


Fig. 12. High tidal speed.



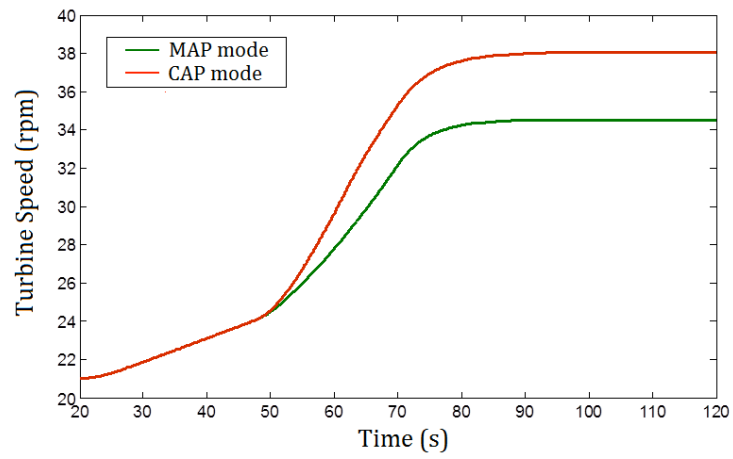


Fig. 13. Rotor speed responses at high tidal speed.

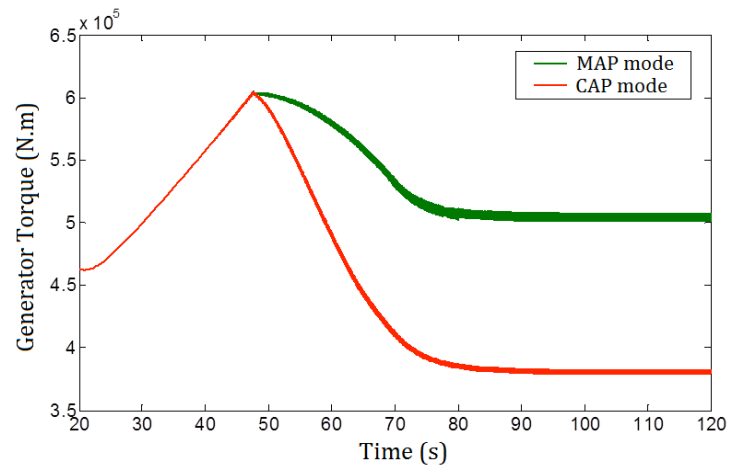


Fig. 14. Generator torque responses at high tidal speed.

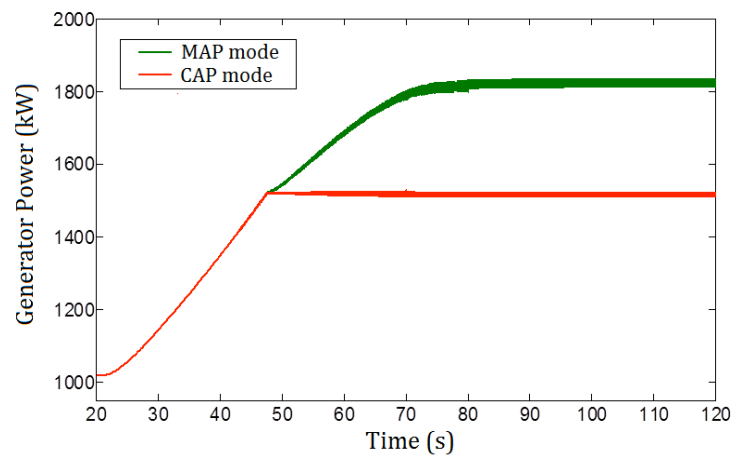


Fig. 15. Generator produced power at high tidal speed.

It can be seen that when the tidal current speed rises to the rated value of 3.2 m/s at about 47 s (Fig. 12), the MCT rotor speed will reach the nominal value of 24 rpm (Fig. 13) and the generator torque will reach its maximum value about 600 kNm (Fig. 14). When the current speed rises over the rated value, the flux-weakening strategy will be triggered and the rotor speed will rise over the nominal speed. Both MAP and CAP modes are simulated. Figure 13 and 14 show that at over-rated marine current speeds, the CAP mode features lower generator torque to accelerate the turbine to a higher speed than the MAP mode. The reason is that the CAP mode requires reducing the turbine  $C_p$  value more than the MAP mode in order to keep the generator output power at the nominal value for a given over-rated current speed. While MAP mode aims to generate maximum torque and then maximize the generator output power as shown in Fig.14 and Fig. 15.

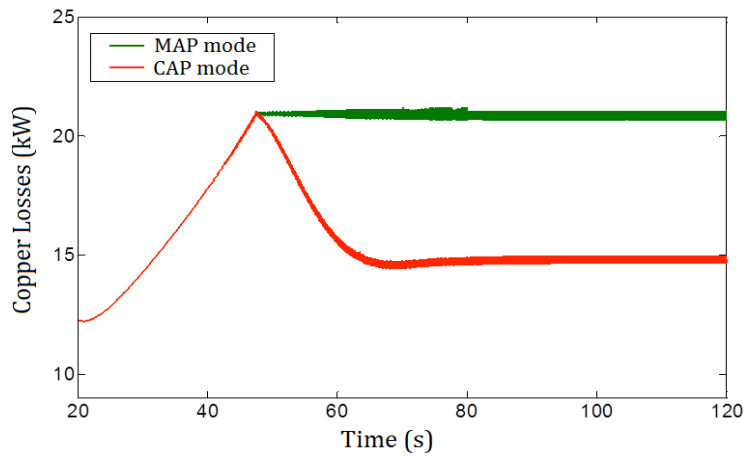


Fig. 16. Copper losses of the generator.

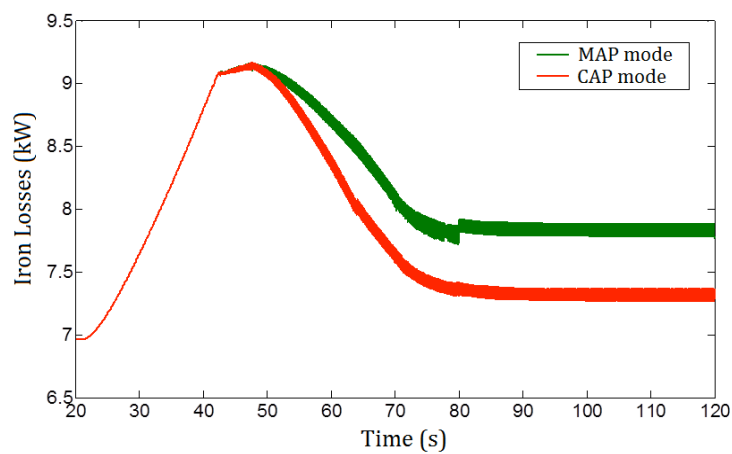


Fig. 17. Iron losses of the generator.

Table 1. Steady-State Performance at Current Speed of 3.6 m/s.

Control mode	Rotor speed (rpm)	Electromagnetic torque (kNm)	Electromagnetic power (MW)	Copper losses (kW)	Iron losses (kW)
MAP	34.5	505	1.82	21	7.8
CAP	38	381	1.52	14.8	7.3

The generator copper losses (Joule losses) are calculated by

$$P_{\text{copper}} = \frac{3}{2} (i_d^2 + i_q^2) R_s \quad (18)$$

The specific iron losses (W/kg) can be calculated based on the following common relationship.

$$P_{Fe} = P_{Fe0} \left( \frac{B_{Fe}}{B_{Fe0}} \right)^a \left( \frac{f}{f_0} \right)^b \quad (19)$$

where  $B_{Fe}$  and  $f$  are respectively the flux density and the field frequency in the stator iron core, and  $P_{Fe0}$  represents the iron losses per mass at the given frequency  $f_0$  and the flux density  $B_{Fe0}$ . The typical value of  $a$  and  $b$  can be set as 2.2 and 1.5, respectively [24]. In a simplified approach, the fundamental harmonic of the flux density  $B_{Fe}$  can be considered to be proportional to  $V_s/f$  and (19) can then be rewritten as

$$P_{Fe} = P_{Fe0} \left( \frac{V_s}{V_0} \right)^{2.2} \left( \frac{f}{f_0} \right)^{-0.7} \quad (20)$$

In this paper, the typical point for calculating the iron losses is chosen at the base point ( $V_0 = V_{\max}$  and  $f_0 = \omega_{eb}/2\pi$ ) and the corresponding  $P_{Fe0}$  is 2.5 W/kg. The total iron mass in the stator core of the 1.5 MW generator is estimated to be about 4 tons [22]. The iron losses calculation results under both CAP and MAP modes are shown in Fig. 17.

As analyzed in the previous section, the MAP mode operates on the intersection points of voltage-current limitation circles and maintains the stator current at its maximum magnitude  $I_{\max}$ , while the CAP mode operates at a smaller stator current. The results from Fig. 16 confirm that the generator copper

losses are kept at the maximum value by the MAP mode, and the CAP mode enables to greatly reduce the copper losses at over-rated marine currents. The iron losses difference is not very big because both modes operate at  $V_{max}$  with the MTPA strategy during the flux weakening operation. The iron losses under MAP mode are a little higher than under CAP mode. This indicates that CAP mode reduces more flux density in the stator core.

From the simulation results and Table 1, it can be seen that at steady-state with a marine current speed of 3.6 m/s, the MAP mode will accelerate the turbine to 1.45 times of the nominal speed and produce 19.7% power more than the nominal value; while the CAP mode will accelerate the turbine to 1.58 times of the nominal speed and limit the generator power to the nominal power of 1.52 MW. Compared to the MAP mode, the generator copper losses are reduced by 29.5% and the iron losses are reduced by 6.4% with the CAP mode at steady-state under a marine current speed of 3.6m/s.

### ***C. Under Swell Effect***

Swell refers to long-length ocean waves (usually over 150 m) generated by distant storms [25]. Long distance dispersion makes the swell energy more accumulated than local wind-generated waves. Swells can propagate very deep below the sea surface and therefore have a non-negligible effect on the MCT system. Indeed, swell effect can lead to marine current speed fluctuations on a period of about 10 to 20 s. In this paper, a medium-strong sea state with significant wave height  $H_s = 3$  m and typical wave period  $T_p = 13.2$  s is considered (it corresponds to typical sea state in the winter off the western coast of Europe). Details of the swell modeling can be found in [23]. The total marine current speed is calculated as the sum of tidal current speed and swell-induced current speed variations. It should be noticed that the tidal current speed can be considered as a constant during a short-time period due to tidal phenomenon. Figure 18 shows the marine current speed under swell effect: it can be seen that even the tidal current speed is fixed at 2.8 m/s, the total marine current speed will exceed the rated value of 3.2 m/s during some short periods caused by the swell-induced current variations.

Figures 19 and 20 show the rotor speed and generator power responses in MAP and CAP modes respectively. It should be noticed that under swell effect, the marine current speed is fluctuating and there is no steady-state for the turbine. The turbine will not be accelerated to the same operating points as in steady-state cases, but the CAP mode will still accelerate the rotor speed a little higher than in the MAP mode to limit the generator power to its nominal value. In this case, the MAP can produce about 4% power more than the CAP mode during the main flux-weakening stages (40-45 s, 78-83 s and 93-98 s) as shown in Fig. 20.

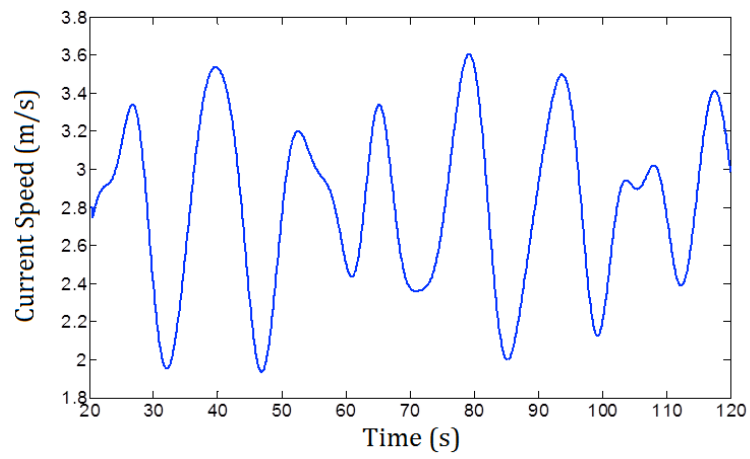


Fig. 18. Marine current speed under swell effect.

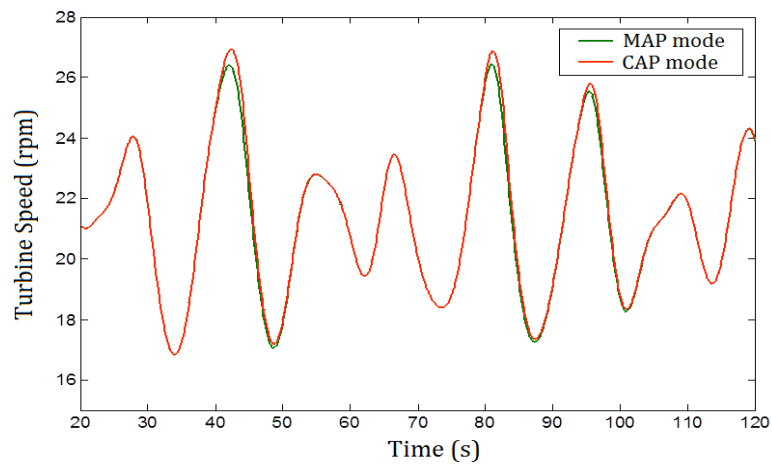


Fig. 19. Rotor speed responses under swell effect.

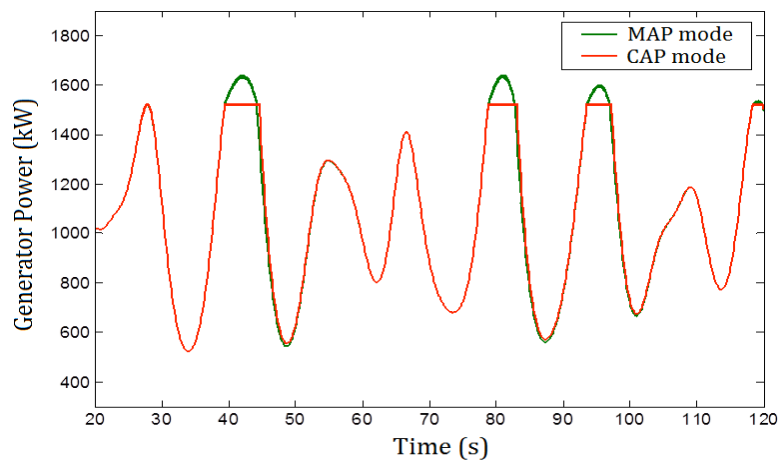


Fig. 20. Generator produced power under swell effect.

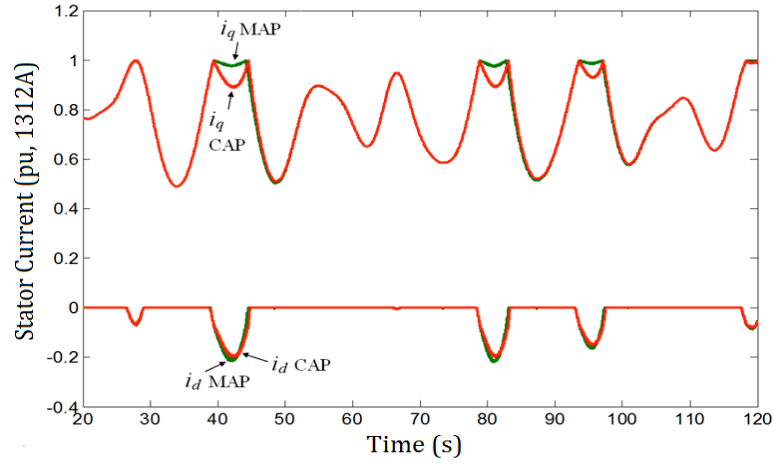


Fig. 21. Generator stator currents in the  $d$ - $q$  axis.

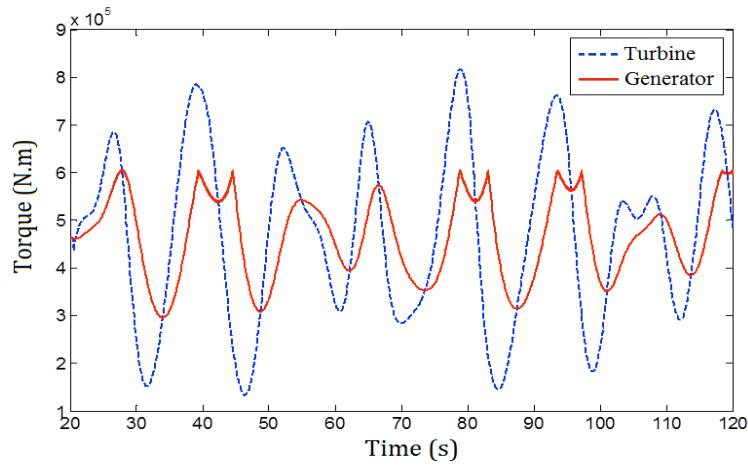


Fig. 22. Torque responses under swell effect (CAP mode).

Figure 21 compares the  $d$ - $q$  currents with the MAP and CAP modes under swell effect. Lower  $i_q$  (and generator torque) can be noticed in the CAP mode than in the MAP mode. The difference of  $d$ -axis currents is very small because the CAP mode operates at a little higher speed than the MAP mode. In this case, the CAP mode has about 8% less copper losses than the MAP during the main flux-weakening stages. Figure 22 shows the turbine and generator torques under the CAP mode and this points out that for a large MCT system (with large total inertia), a big difference between the turbine and generator torques is required to accelerate or decelerate the system in dynamic stages.

## V. CONCLUSION

This paper has investigated power control strategies for a PMSG-based MCT with non-pitchable blades at over-rated current speed. Flux-weakening control strategy has been proposed to accelerate the turbine and generator system over the nominal speed for power limitation control at high marine current speed. Two power control modes (the maximum active power mode and the constant active power mode) on the generator constant power range have been investigated. In this context, a torque control scheme with a robust flux-weakening algorithm has been applied. High speed marine currents caused by high tidal current speed and swell effect have been both considered.

The achieved simulation results have confirmed that at over-rated current speed, the constant active power mode enables to limit the generator output power to the nominal value with lower losses while the maximum active power mode enables to produce over-nominal power (better use the generator-side converter  $V$ - $I$  rating) but with high copper losses. The parameter requirements of the non-salient PM machine for expected constant power speed ratio are provided in this paper. The compromise between high power factor and large constant power range should be noticed for appropriate generator parameter design.

## APPENDIX

TABLE 2. SYSTEM PARAMETER LIST

Sea water density	1027 kg/m <sup>3</sup>
Turbine blade radius	8 m
System total inertia	1.3131×10 <sup>6</sup> kg m <sup>2</sup>
Maximum $C_p$ value	0.45
Optimal TSR for MPPT	6.3
Rated marine current speed	3.2 m/s
MCT nominal power	1.52 MW
Generator nominal phase voltage	649 V (RMS)
Generator nominal phase current	928 A (RMS)
DC-bus voltage	1500 V
Rotor nominal speed	24 rpm
Pole pair number	125
Permanent magnet flux	2.458 Wb
Generator stator resistance	0.0081Ω
Generator $d$ - $q$ axis inductance	1.2 mH

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